



Order Execution Policy – March 2026

1.0 Introduction

The purpose of this document is to provide customers of Synergy Financial Products Ltd (SFPL) (“we”, “our” or “us”) with information on our Order Execution Policy. We are committed to treating our customers fairly and as part of this commitment this policy explains how we handle your deals.

2.0 Best Execution

Whether we execute orders for you directly or whether we place orders to a third party to execute, we owe you a duty of best execution. This means that we take all sufficient steps to obtain the best possible result for you when executing your deals.

Practically this means we consider the following factors in order of priority:

The price you will pay for the investment

Costs (any costs you may incur as a result of the transaction being placed)

Regulatory compliance

The speed at which we are able to complete the deal

The likelihood of being able to place the deal and settle it

The nature of the deal

The size of the deal

3.0 Policy Scope

We will place orders through regulated third parties for that third party to execute on behalf of our customers. Our activities will include acting on customer instructions to;

Purchase new units/shares from monthly or one-off contributions

Sell units/shares held in part or in full

Details of the process for dealing, timing, execution and any constraints are contained in the Terms and Conditions of the individual products.

We handle orders in two main categories: **Transferable Securities** (such as UK Equities and Investment Trusts) and **Collective Investment Schemes** (such as Unit Trusts and OEICs).

3.1 Client Specific Instructions

Whenever we receive a specific customer dealing instruction, for example when customers instruct us to sell an investment, the instruction given may limit the extent to which this Order Execution Policy is applied.

Where a customer gives a specific instruction, we will look to execute their order as instructed, as we cannot provide advice. If we are asked to place a deal in a way which means we cannot consider all the best execution factors within this policy, we may not be able to obtain the best results for you.

4.0 Nominee Companies

We may use separate nominee companies for different activities. We currently use one nominee company - New Direction Finance Nominees Limited.

5.0 Policy

Our policy describes the approach that we will adopt for UK Equities and Investment Trusts.

When we place or transmit deals in UK Equities and Investment Trusts to a third party to execute, we send the deal promptly to an established FCA regulated UK firm providing stockbroking services. That firm will in turn execute the deal in accordance with their Order Execution Policy. We regularly review their policy and only consent to it where we are satisfied that firm considers all execution factors, and places appropriate relative importance on these factors, in a manner aligned with our own Order Execution Policy.

Our current elected third party stockbroker is GHC Global Markets Ltd. As per our policy we have selected GHC Global Markets Ltd as a result of their offering being the most attractive overall in terms. We conduct annual reviews on the offering from our third party provider to ensure continued best execution.

5.1 Aggregation and Allocation

We maintain policies surrounding the aggregation of orders within our operating procedures. Comparable orders are in general placed with third parties in bulk format and when the order executes, the proceeds are allocated to our customers fairly and in line with our operational procedures.

5.2 Unit Trusts and OEICs (Collective Investment Schemes): Unlike Equities, which are traded on an exchange via a broker, Unit Trusts and OEICs are dealt directly with the relevant **Fund Manager** (the Authorized Corporate Director or ACD).

Execution Strategy: We achieve best execution for these assets by ensuring that instructions are transmitted to the Fund Manager or their administrator in time to be processed at the **next available valuation point** (the "forward price").

Price and Cost: For these assets, the price is set by the Fund Manager; therefore, our primary duty of best execution is fulfilled by ensuring the timely and accurate transmission of the order to ensure you receive that day's price.